

75 West Commercial Street, Suite 104
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Martin I. Eisenstein
Chairman



**NORTHERN NEW ENGLAND
PASSENGER RAIL AUTHORITY**

January 25, 2017

Honorable Sara Gideon
Speaker of the House
2 State House Station
Augusta, Maine 04333

Honorable Michael D. Thibodeau
President of the Senate
3 State House Station
Augusta, Maine 04333

Dear Speaker Gideon and President Thibodeau:

Pursuant to 5 M.R.S.A., Section 12023, please consider this the letter of transmittal for the required report from the Northern New England Passenger Rail Authority due by February 1, 2017.

Please contact me if you have any questions or need additional information.

Thank you.

Sincerely,

Mr. Martin Eisenstein
Chairman
Northern New England Passenger Rail Authority

Ms. Patricia Quinn
Executive Director
Northern New England Passenger Rail Authority

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**NORTHERN NEW ENGLAND
PASSENGER RAIL AUTHORITY**

January 25, 2017

Honorable Sara Gideon
Speaker of the House
2 State House Station
Augusta, ME 04333-0002

RE: Statutory Citation P.L. 2011 c. 616: An Act to Implement the Recommendations of the Office of Program Evaluation and Government Accountability and the Government Oversight Committee Regarding Quasi-Independent State Entities

Dear Speaker Gideon:

Please find enclosed the Northern New England Passenger Rail Authority's annual report as required under section 12023 (2) "Ongoing Reports" of the Statutory Citation referenced above.

Required Reports:

- 2A. A list of all procurements exceeding \$10,000 in the preceding year (NNEPRA Fiscal Year 2016) for which competitive procurement was waived under the policies adopted pursuant to section 12022, subsection 3 - Attached.
- 2B. A list of all persons to which the entity made contributions greater than \$1,000 in the preceding year and the total amount contributed to each – Attached.
- 2C. As required to report by section 12022, the NNEPRA Board voted to support the recommendations made by OPEGA regarding NNEPRA's continued communication with the Transportation Committee, and the processes for establishing policy, planning and implementing passenger rail expansion and capital improvement projects.

There were no changes to the procedures used by the governing body to monitor compliance with those policies and procedures.

Sincerely,

Handwritten signature of Martin I. Eisenstein in black ink.

Mr. Martin Eisenstein
Chairman
Northern New England Passenger Rail Authority

Handwritten signature of Patricia Quinn in black ink.

Ms. Patricia Quinn
Executive Director
Northern New England Passenger Rail Authority

Northern New England Passenger Rail Authority

§ 12023 Reports to the Legislature Subsection 2A

Procurements Exceeding \$10,000 - Competitive Procurement Waived

July 1, 2015 to June 30, 2016

Date	Vendor Name	Amount	Procurement Method	Funding Source
			(Justification is on file at NNEPRA's Office)	
3/10/2016	Alstom	\$ 284,038.76	Construction Sole Source - Available from only one source	FRA, HSIPR, ARRA
12/15/2015	Stella Jones	\$ 284,675.00	Construction Sole Source - Exigency to keep project schedule	5337 Grant
2/2/2016	Ansaldo	\$ 108,170.68	Construction Sole Source - Available from only one source	FRA, HSIPR, ARRA
1/25/2016	Siemens	\$ 43,221.98	Construction Sole Source - Available from only one source	FRA, HSIPR, ARRA
6/29/2016	Thermal-Flex Systems	\$ 26,394.00	Construction Sole Source - Available from only one source	FRA, HSIPR, ARRA
7/1/2015	Farrell, Rosenblatt and Russell	\$ 73,792.86	Legal Representation - Available only from one source	CMAQ
2/26/2016	Alstom	\$ 2,419,906.84	Construction Sole Source - Available from only one source	FRA, HSIPR, ARRA
1/5/2016	PTMW, Inc	\$ 310,417.73	Construction Sole Source - Available from only one source	FRA, HSIPR, ARRA
6/1/2016	Keolis	\$ 200,366.85	Construction Sole Source - Available from only one source	FRA, HSIPR, ARRA
2/26/2016	Western Cullen Hayes	\$ 56,318.00	Construction Sole Source - Available from only one source	FRA, HSIPR, ARRA
9/2/2015	Portland Press Herald	\$ 10,199.00	Marketing Sole Source, Media - Available from only one source	CMAQ

Northern New England Passenger Rail Authority

§ 12023 Reports to the Legislature Subsection 2B

Contributions Exceeding \$1,000

July 1, 2015 to June 30, 2016

Date	Trans Description	Over \$1,000 - Sponsorship	Over \$1,000 - Dues
10/14/15	TrainRiders/Northeast - Platinum Sponsor of Annual Event	\$ 1,500	
11/19/15	ForeFront Events, LLC - Dash Board Sponsor at Thompson's Point Ice Rink	\$ 1,000	
1/15/16	Maine State Music Theatre - Maine State Music Theater Transportation Sponsor	\$ 1,500	
1/27/16	States for Passenger Rail Coalition - 2016 Dues		\$ 4,000
4/30/16	Maine Development Foundation - Champion Circle Brand Development	\$ -	\$ 5,000
5/31/16	Greater Dover Chamber of Commerce - Children's Series Sponsor	\$ 2,000	

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January 25, 2017

Senator Michael D. Thibodeau
President of the Senate
3 State House Station
Augusta, ME 04333-0003

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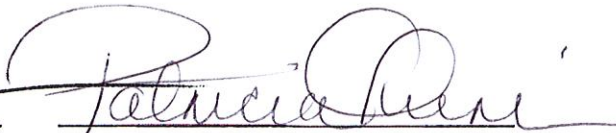
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Chairman
Northern New England Passenger Rail Authority


Ms. Patricia Quinn
Executive Director
Northern New England Passenger Rail Authority

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